

09/05/00  
3c925 U.S. PTO

U.S. Application No.  
Unknown

International Application No.  
PCT/US99/15225

525 Re PCT/PTO 05 SEP 2000

Attorney Docket No.

ALLIA 143C1

09/623728

Page 1

Date: September 5, 2000

**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371**

International Application No.: PCT/US99/15225  
International Filing Date: July 6, 1999  
Priority Date Claimed: July 6, 1998  
Title of Invention: COMPOUNDS, COMPOSITIONS AND METHODS FOR THE ENDOCYTIC  
PRESENTATION OF IMMUNOSUPPRESSIVE FACTORS  
Applicant(s) for DO/EO/US: The University of Tennessee Research Corporation

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. (X) This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. (X) This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).
3. (X) A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
4. (X) A copy of the International Application as filed (35 USC 371(c)(2))
  - a) ( ) is transmitted herewith (required only if not transmitted by the International Bureau).
  - b) ( ) has been transmitted by the International Bureau.
  - c) (X) is not required, as the application was filed in the United States Receiving Office (RO/US).
5. (X) Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
  - a) ( ) are transmitted herewith (required only if not transmitted by the International Bureau).
  - b) ( ) have been transmitted by the International Bureau.
  - c) ( ) have not been made; however, the time limit for making such amendments has NOT expired.
  - d) (X) have not been made and will not be made.

**Items 11. to 16. below concern other document(s) or information included:**

6. (X) International Application as published.
7. (X) PCT Form PCT/IB/308.
8. ( ) Other Items or information:
9. (X) A return prepaid postcard.

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10. (X) The following fees are submitted:

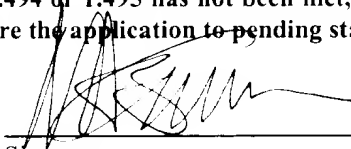
				FEES
BASIC FEE				\$840
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	20 - 20 =	0	\$18	\$0
Independent Claims	3 - 3 =	0	\$78	\$0
Multiple dependent claims(s) (if applicable)			\$260	\$0
TOTAL OF ABOVE CALCULATIONS				\$840
Reduction by 1/2 for filing by small entity (if applicable). Verified Small Entity statement must also be filed. (NOTE 37 CFR 1.9, 1.27, 1.28)				\$420
TOTAL NATIONAL FEE				\$420
TOTAL FEES ENCLOSED				\$420
amount to be refunded:				\$0
amount to be charged:				\$0

11. (X) The fee for later submission of the signed oath or declaration set forth in 37 CFR 1.492(e) will be paid upon submission of the declaration.
12. (X) A check in the amount of \$420 to cover the above fees is enclosed.
13. (X) The Commissioner is hereby authorized to charge only those additional fees which may be required, now or in the future, to avoid abandonment of the application, or credit any overpayment to Deposit Account No. 11-1410. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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620 Newport Center Drive  
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Newport Beach, CA 92660

  
Signature

Ned A. Israelsen  
Printed Name

29,655  
Registration Number

09/623728

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Assistant Commissioner for Patents  
 Washington, D.C. 20231

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

**Attorney Docket No. :** ALLIA.143C1

**Applicant(s) :** The University of Tennessee Research Corporation

**For :** COMPOUNDS, COMPOSITIONS AND METHODS  
 FOR THE ENDOCYTIC PRESENTATION OF  
 IMMUNOSUPPRESSIVE FACTORS

**Attorney :** Ned A. Israelsen

**"Express Mail"**

**Mailing Label No. :** EL 587 855 738 US

**Date of Deposit :** September 5, 2000

I hereby certify that the accompanying

Transmittal in Duplicate; Copy of International Application as published in 80 pages;  
 PCT Form PCT/IB/308; Check(s) for Filing Fee(s); Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office To Addressee"  
 service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner  
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John Riedel

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